

Associate Member Application



Full Member Details

Full Member Name:	
Contact Name:	
Full Member Business Address:	
Full Member Postal Address:	

Associate Member Details / Applicant

Name					
Home Address					
Business Address					
Date of Birth					
Drivers License Number					
Associate Telephone Number:		Associate Fax Number:		Associate Mobile	
Associate Email Address:					

Compliance Details

Professional Indemnity	Policy Number:	Expiry Date:	
Industry Association	MFAA / FBAA / AIMB / Other	Membership Number:	Expiry Date:
External Dispute Resolution Scheme	COSL / Other	Membership Number:	Expiry Date:
Evidence of completion of the MFAA Initial compliance pack - Includes: UCCC, Privacy Act, Compliance Essentials, MFAA Standards & Operating Guidelines	Yes / No	Evidence of AML/CTF accreditation	Yes / No
Evidence of SEQUAL accreditation (Reverse Mortgages Only)	Yes / No		

Questions

Have you ever had insurance refused, cancelled, declined or had any special conditions imposed?	Yes / No
Have you ever been declared bankrupt, subject to any form of insolvency administration?	Yes / No
Have you been convicted of a criminal offence?	Yes / No
Have you ever entered into a Scheme of Arrangement with Creditors?	Yes / No
Have you had any default or judgement lodged against you?	Yes / No
Are you subject to a pending investigation or enquiry by or on behalf of any Stock Exchange / Government Department / Securities Commission / Banking Ombudsman and or similar regulatory or investigatory body?	Yes / No
Have you ever been prosecuted under the Trades Practices Act or Consumer Credit Code?	Yes / No
Have you ever had a lender accreditation refused, terminated or suspended for any reason?	Yes / No
Have you ever had an aggregators accreditation refused, terminated or suspended for any reason?	Yes / No
Have you ever had an industry association membership refused, terminated or suspended for any reason?	Yes / No
Do you currently hold that status of Accredited Mortgage Consultant with the Mortgage Finance Association of Australia (MFAA) or like body?	Yes / No

In this Application, “we”, “us” and “our” refer to Connective Services Pty Ltd ACN 107 366 496, “you” and “your”. refer to the Associate named as such in this Application and “Full Member” refers to the Full Member named as such in this Application.

Privacy Acknowledgement and Consent

Collection of Personal Information

We collect personal information (including your full name, address and contact details) so that we may assess your application and administer our relationship with you and provide you with products and services you request as well as information on the Connective Group’s products and services.

Where necessary to do so, we also collect information on individuals such as company directors and officers (where the company is our customer), as well as customers’ agents and persons dealing with us on a “one-off” basis.

You must provide us with accurate and relevant information

If you provide us with incomplete or inaccurate information, we may not be able to assess your application and administer our relationship.

Other Members of the Connective Group

We are permitted by the Privacy Act to disclose personal information to other members of the Connective Group.

Other Disclosures

We may communicate personal information to organisations to which we outsource certain functions. For greater detail on outsourcing, please refer to our Privacy Policy Statement, which is available at www.connective.com.au

In all circumstances where contractors and agents may become aware of personal information, confidentiality agreements apply. Our agents and contractors may only use personal Information for our purposes.

We may be allowed or obliged to disclose information by law, eg. Under Court Orders or Statutory Notices pursuant to taxation or social security laws.

Access

You may (subject to permitted exceptions) access your information by contacting Connective OSN Pty Ltd, Level 5, 530 Collins Street, Melbourne VIC 3000.

Applicants Authorisation

I have read and understood the service expectations required of me if my application is successful.

I hereby declare that the information in this application is accurate and true and authorise Connective to confirm the details in this application with third parties as required.

In accordance with Connective’s compliance procedures to appoint a full member or associate member, it is a requirement that each full member or associate member is identified and a credit search conducted. I authorise connective to obtain reports from Baycorp Advantage Business Information Services Ltd to enable them to assess my application to become a full member or Associate member.

Terms of Associate Membership

Application

These terms apply to you if your application is accepted by us. By signing below you agree to be bound by these terms.

You acknowledge and warrant that:

- (a) all information provided by you in this Application is true, complete and not misleading in any way;
- (b) you will comply with all directions from and all processes and procedures required by us, your Full Member, any Lender or provider of other related products that are available through us;
- (c) you will comply with all laws, regulations and industry codes of practice applying to your conduct;
- (d) you have the qualifications, training, experience and expertise appropriate to conduct provide your services under this and the Full Member Agreement between us and the Full Member;
- (e) you hold all licences and accreditations required by Lenders and any state or federal government legislation to conduct it’s business, and will maintain such accreditations while you remain an associate member of Connective;
- (f) you must always act honestly and diligently and with the highest standard of ethics and professionalism;
- (g) you must not engage in or allow any conduct that is dishonest, unethical, contrary to law, including without limitation that may mislead or deceive; and
- (h) you must always conduct yourself in a manner that consistent with and that does not cause the Full Member to breach the terms of the Full Member Agreement between us and the Full Member.

You are responsible for your own conduct and you must indemnify us and keep us indemnified from all claims, suits, demands, liability, loss, damage, cost (including all legal costs on an indemnity basis), clawback or other liability in relation to or arising from:

- (a) any action, inaction, negligence, wilful misconduct, fraud or other action by you; and
- (b) any breach by you of the warranties and obligations in this Agreement or that would be a breach of the Full Member Agreement between us and the Full Member.

Except as specifically set out here, we do not provide any services to you nor owe any obligations to you as our obligations and responsibilities are between us and the Full Member. As such, we do not make any representation or warranty about the quality, fitness for purpose or performance of the services and facilities offered by us, whether implied by statute, common law, trade usage, custom or otherwise, unless imposed by non-excludable law.

Connective will not be liable to you or any other person for any remuneration, payment, compensation, loss or damage owing to you or any other person or suffered by you or any other person as a result of the conduct, acts or omissions of the Full Member or any other person.

Signing

By signing this Application below, you:

- (a) provide your consent to the use and disclosure of your personal information as set out above;
- (b) acknowledges having been provided with the opportunity to read and having read and understood this document;
- (c) agree that the Terms contained in this Application form the terms of the agreement between you and us.

Connective Full Member's Signature / Name / Date

Associate Member Applicant Signature / Name / Date

Associate members either franchised, licensed or employed by the full member may be authorised to act, but will need to be accredited by the individual lender as well as being an approved associate member of Connective, prior to conducting any interview with clients.

CONNECTIVE SERVICES PTY LTD (ACN 107 366 496)

Level 5

530 Collins Street

MELBOURNE VIC 3000

Phone: 0396146080

Fax: 0396293831

e:info@connective.com.au

Supporting documents to be provided with your application.

- Copies of 100 Pts identification for the associate.
- Copy of current Professional Indemnity Insurance Certificate.
 - If an Associate is covered by the Full Member's PI and the Associate's name is not listed on the certificate, a letter is required by the Full Member confirming this
- Copy of an Anti-Money Laundering Certificate
- Copy of a current Police Report for the Associate – no older than 6 months
- Evidence of professional body membership for the company / business. E.G. MFAA / FBAA / AIMB / Other
 - If the Associate is covered by the Full Member's professional body membership then a letter is required confirming this
- Evidence of membership of an ASIC approved external dispute resolution scheme. E.G COSL
 - If the Associate is covered by the Full Member's COSL then a letter is required confirming this
- Evidence of completion of the MFAA initial compliance test, AML/ CTF accreditation and SEQUAL accreditation for reverse mortgages if applicable.
- WA, ACT - Evidence of appropriate license
- VIC - Declaration of eligibility

**PLEASE NOTE THAT WE CANNOT COMPLETE YOUR ACCREDITATION
IF WE DO NOT HAVE ALL OF THE ABOVE DOCUMENTATION**